



Man Securities  
Brokers for Options Traders

# Auto-Trade With Man Securities



*Inside... New portfolios from  
[InvestorsObserver.com](http://InvestorsObserver.com)*



**Expert Guidance. Exceptional Service.<sup>SM</sup>**



## Our Expertise. Your Edge.

***Access to brokers highly adept at order execution. Expertise on a wide range of options strategies. The latest Internet technologies. Unsurpassed customer support from seasoned options traders.***

At Man Securities ("Man"), our primary focus is helping investors of all levels take full advantage of the benefits of options trading.<sup>1</sup> Our understanding of the market can help you optimize your portfolio and minimize the risk of extended periods of losses by combining a variety of advisory services and trading styles.

(Due to the importance of tax considerations, the investor considering options should consult with a tax advisor as to how taxes may affect the outcome of contemplated option transactions.)

### ***The advantages of trading with Man include:***

- Direct access to highly educated brokers with extensive trading floor experience
- In-depth knowledge of a wide range of auto-traded advisory services
- Professionally managed accounts with low starting balances
- Dedicated spread desk
- Experienced retail and institutional desks
- Real-time positions and activities
- Daily reporting per trade on realized/unrealized profits/losses in percentage and dollar amounts
- Automatic generation of comprehensive Schedule D for tax purposes
- Low online and broker assisted commission rates<sup>2</sup>

Our commitment to the highest standards of order execution, customer service and education has earned Man Securities recognition as one of the world's leading brokerage firms.

<sup>1</sup> Options are not suitable for all investors. Investing in options carries substantial risk. Individuals should not enter into option transactions until they have read and understood the risk disclosure explained in the "Options Disclosure Document" published by the Options Clearing Corporation and available from Client Services, Attn: Man Securities Inc, 440 S. LaSalle St., 20th Floor, Chicago, IL 60605. Phone: 800-837-6212. Privacy policy is available upon request.

<sup>2</sup> Call 800-837-6212 or visit [www.mansecurities.com](http://www.mansecurities.com) for our latest competitive commission rates.

## Get More Out of Your Advisory Service: Auto-Trade with Man

### **No Calling Brokers. No Going Online. Fewer Missed Trades.**

*Do you worry about missing trades?* One solution may be auto-trading. Auto-trading is a service that allows your options broker to manage and automatically execute trades recommended by your advisory service. With auto-trading, you can effectively take advantage of recommended trades even if you are not in touch with current market conditions. Each trade is done at your previously specified dollar amount or number of contracts.

### **Why Auto-Trade with Man?**

Man was one of the first brokerages to implement auto-trading services and has over 12 years experience helping customers auto-trade their favorite advisory services. Man's option traders are among the most experienced in the industry and have a thorough understanding of the trades and strategies recommended by advisory services.

For added convenience, your auto-trading account can also be used to buy/sell options and stocks on your own. In other words, you may combine your own trading with auto-trading.

### **The benefits of auto-trading with Man include:**

- Flexible dollar level commitments to fit your budget
- Group 100% staffed with Registered Options Principals
- Wide selection of advisory services to choose from
- Auto-trading accounts can be set up as IRA accounts
- Consistent and timely executions
- Explanations of strategies
- Automatic generation of comprehensive Schedule D for tax purposes
- Use your auto-trading account to buy/sell options and stocks on your own
- Trade results tracked online or downloaded to your favorite financial software
- Easy termination ability<sup>3</sup>

Call 800-837-6212 or visit [www.mansecurities.com](http://www.mansecurities.com) for a complete list of advisory services you can auto-trade with Man. Put our experience and service to work for you.

<sup>3</sup> Current advisory subscribers must revoke in writing (i.e., fax, email, or letter).

## Auto-Trade Lower Risk, Non-Directional Funds

### **Should You Consider Lower Risk, Less Speculative Trading?**

Most investors understand the importance of diversification across different investments in their portfolio (such as a mix of growth stocks, value stocks, international, and bonds). The same philosophy also applies to options trading. Concentrating on only one trading strategy can lead to periods of large losses.

If you have recently lost money trying to pick direction, you may be interested in learning more about the benefits of alternative investments like non-directional trades (i.e. spreads<sup>4</sup>). Please note, most option spreads must be done in a margin account. These trades are less risky and less volatile in nature than the highly speculative trades you may have experienced.

Non-directional trading identifies returns that are independent and uncorrelated with the overall direction of the equity market. Over a sustained period of time, this type of trading seeks to achieve consistency of return by earning steady profits instead of trying for large gains that can end up as equally big losses. This strategy can profit from volatility in both rising and falling markets.

<sup>4</sup> Multiple position strategies have potential for additional transaction costs due to assignments or closing transactions which increase commission costs and will affect potential profits/losses and break-even situations.

### The New HedgePro Portfolio

Here's one way to quickly appreciate the power and ease of auto-trading... as well as take advantage of a non-directional trading portfolio.

*"The HedgePro Portfolio offers a way for you to get the benefit of a professional Hedge Fund Manager to pick trades for you without needing to mail in a check for \$5,000,000. The Portfolio features up to five trades every month and will use researched credit spread trades on equity options or indices."*

- InvestorsObserver<sup>5</sup>

The HedgePro Portfolio points out a series of Bull-Put and Bear-Call option credit spread trades every month. Both of these strategies can be lower risk alternatives to help conserve capital and generate additional income in your portfolio. This service includes a table of trade details and dates, along with a short discussion of why specific trades are chosen.

#### **Portfolio highlights include:**

- Flexible dollar level commitments to fit your budget
- Bull-Put and Bear-Call Credit Spreads
- Seeks to achieve bigger credits at option strike prices closer to the current stock selling price
- Frequent trade updates
- Predetermined stops designed to provide protection from further losses
- Fewer monthly trades
- IRA accounts available (prior approval from Man required)
- Trades selected and tracked by a professional HedgeFund Manager
- Relatively lesser amount of capital at risk

<sup>5</sup> Not affiliated with Man Securities Inc

### The New Monthly 2000 Portfolio

Man's highly experienced brokers can automatically make the trades recommended by the Monthly 2000 Portfolio.

*"The Monthly 2000 Portfolio typically recommends ten very conservative credit spread trades. This is a great way to see the types of trades that can build the cash position in your portfolio without using up your valuable assets."*

- InvestorsObserver<sup>6</sup>

The Monthly 2000 Portfolio typically recommends ten very conservative credit spread trades that can potentially generate additional income for your portfolio on a monthly basis. This service includes a table of trade details, a short discussion on why each trade is chosen, and daily updates.

To achieve its goals, the Monthly 2000 Portfolio primarily uses Bull-Put and Bear-Call credit spreads. Both of these strategies can be an alternative to conserve capital, reduce risk and generate additional income in your portfolio.

#### **Portfolio highlights include:**

- Attempts to generate 10 very conservative credit spreads
- Strike prices are further away from the current stock price to minimize risk
- Flexible dollar level commitments
- Daily commentary and frequent trade updates
- Portfolio experts continually monitor your positions so you don't have to
- IRA accounts available (prior approval from Man required)
- Requires more capital than the HedgePro Portfolio

**Note:** *The accumulated initial credits received for the spreads effected will not necessarily result in a profit for an equal amount in that each spread may result in a lower profit or a loss, based on market fluctuations.*

<sup>6</sup> Not affiliated with Man Securities Inc

## ...More Lower Risk Portfolios from InvestorsObserver.com



### Automate the New Dividends Plus Portfolio

*"Executes trades that rely on solid dividend producing stocks while helping to reduce the overall risk of holding stocks and conserving investment funds."*  
- InvestorsObserver<sup>7</sup>

Although not as exciting as some of the more "trendy" stocks, there can be a way to enhance returns from dividend paying stocks.

The Dividends Plus Portfolio consists of hedged investments on dividend paying stocks. To achieve its goals, this Portfolio typically includes two to five trades a month only with stocks that demonstrate reasonably strong technicals. At option expiration, the stock is either called away or the option is "rolled" to a month farther out in time to capture more cash for the portfolio and further hedge the position. Since these are covered call trades, there typically is no closing transaction required.

#### **Portfolio highlights include:**

- Sophisticated computer models locate trades with potentially high returns and lower risk
- Daily commentary and frequent trade updates
- Monthly trade summarization table including profits and losses
- IRA accounts available (prior approval from Man required)
- Flexible dollar level commitments

**Note:** *With covered call writing, the stock's potential appreciation is capped at the strike price of the call written and the downside protection is limited to the premiums received. This strategy generally performs best in a neutral or slightly up market and will likely underperform in an unhedged stock portfolio in a strong up market.*

### Automate the New 10-10 Portfolio

*"The goal of the 10-10 Portfolio is to recommend a series of monthly trades that can generate returns if the stock rises, stays flat or even drops."*  
- InvestorsObserver<sup>8</sup>

The 10-10 Portfolio service can be a great way to see the types of trades that can act as substitutes for holding stocks. The strategy at the core of this portfolio can help preserve capital, reduce risk, and make index beating returns.

This service points out a series of monthly trades that can potentially generate a return if the stock rises, stays flat or even drops. Typically, this includes three to five very conservative debit spread trades. Since these trades have an initial debit (amount you pay), that debit plus commissions may be the most you can lose on the trade.

#### **Fund highlights include:**

- In-the-money option debit spread strategy reduces trade costs
- Sophisticated computer models locate trades with potentially high returns and lower risk
- Daily commentary and frequent trade updates
- Monthly trade summarization table including profits and losses
- IRA accounts available (prior approval from Man required)
- Flexible dollar level commitments

For complete and up-to-date performance data on all of the InvestorsObserver portfolios described in this brochure, go to:  
<http://www.investorsobserver.com/portdata>

<sup>7</sup> Not affiliated with Man Securities Inc

<sup>8</sup> Not affiliated with Man Securities Inc

## It's Easy to Auto-Trade Portfolios from OptionEquity.com

**Step #1** – Contact Man Securities to obtain your account package:

- Web: <http://www.mansecurities.com>
- Phone: 1-800-837-6212
- Email: [options@mansecurities.com](mailto:options@mansecurities.com)
- Fax: 312-902-6090

**Step #2** – Print, complete and mail the account documentation to Man Securities Inc, 440 S. LaSalle Street, 20th Floor, Chicago, IL 60605. Indicate which service(s) to auto-trade. Each trade is done at your dollar/contract commitment level.

**Step #3** – Once your completed account application and auto-trading forms are received by Man and funds are available in your account, an account number is assigned and trading may begin.

**Step #4** – Man brokers monitor your service throughout the trading day and quickly executes the signals.

**Step #5** – Relax and let someone else do all the work. What could be easier?

## About Man Financial Inc

The Man Group can trace its origins back to 1783 when James Man first established a sugar broking business in the City of London. The Group operated as a traditional partnership for over two hundred years and was engaged mainly in the agricultural markets of sugar, coffee and cocoa. Later, two financial divisions were established: Man Investments and Man Financial, offering services in asset management and brokerage, respectively.

Today, Man Financial Inc, the Group's Brokerage division, is one of the world's major futures and options brokers and commands a global presence with offices in New York, Chicago, Kansas City, London, Paris, Taiwan, Brisbane, Sydney and Singapore. Man Financial provides execution and clearing services to its worldwide client base, which includes banks, CTAs, Hedge Funds, major industrial groups, and individual private clients. Man's services include futures, options, equities and equity options, and foreign exchange.

Visit [www.mansecurities.com](http://www.mansecurities.com) to learn more about the services and benefits enjoyed by Man Financial and Man Securities customers.



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Customer Services/Sales - 800.837.6212

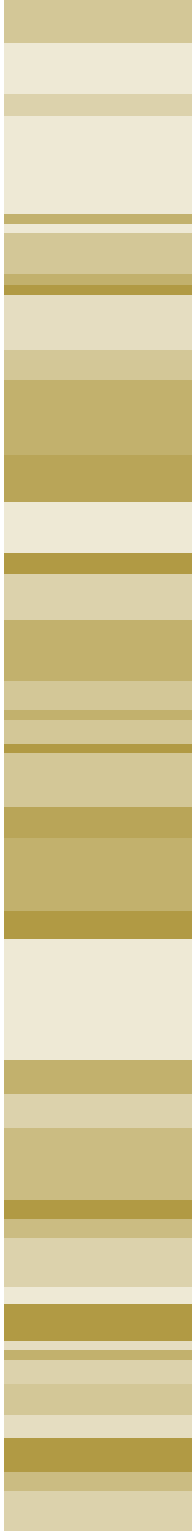
Member CBOE/NASD/SIPC

*Extraordinary Brokers Make the Difference*

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**Expert Guidance. Exceptional Service.<sup>SM</sup>**